

07-13-01

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07/11/01  
j1040 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No. AT9-98-038-US2

Anticipated Classification of this application:

Class \_\_\_\_\_

Subclass \_\_\_\_\_

Prior Application: 09/156,133

Examiner: J. Chang

Art unit: 2164

j1040 U.S. PTO  
09/903828  
07/11/01

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b), of pending prior application serial No. 09/156,133 of Hung Q. Le et al. (inventors currently of record in prior application) for A MECHANISM FOR SELF-INITIATED INSTRUCTION ISSUING AND METHOD THEREFOR.

(1)   X  

Enclosed is a copy of the prior application, including the oath or declaration as originally filed and an affidavit or declaration verifying it as true copy. (See 8 and 9 for drawing requirements.)

(2) \_\_\_\_\_

Applicant claims small entity status (37 C.F.R. 1.27).

(3)   X  

The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION LESS ANY CLAIMS CANCELED BY AMENDMENT BELOW

**CERTIFICATION UNDER 37 CFR 1.10**

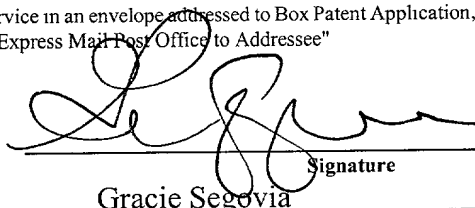
I hereby certify that, on the date shown below, this correspondence is being: **MAILED**

☒ deposited with the United States Postal Service in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 as "Express Mail Post Office to Addressee"

Mailing Label No. EJ 057 762 044 US (mandatory)

Date:

7/11/2001

  
Signature

Gracie Segovia

(Type or print name of person certifying)

09903828-074101

<u>For</u>	<u>No. filed</u>	<u>No. extra</u>
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Total claims*	39	19
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Indep. claims*	6	3
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     Multiple Dependent Claim Presented

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

<u>Fees for Small Entity</u>	or	<u>Fees for other than a small entity</u>
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<u>Rate</u>	<u>Fee</u>		<u>Rate</u>	<u>Fee</u>
	\$ 355.00	or		\$ 710.00
<u>    </u> x \$ 9 =	\$ <u>    </u>	or	<u>19</u> x \$ 18 =	\$ <u>342.00</u>
<u>    </u> x \$ 40 =	\$ <u>    </u>	or	<u>3</u> x \$ 80 =	\$ <u>240.00</u>
+ \$135 =	\$ <u>    </u>	or	+ \$270 =	\$ <u>0.00</u>
TOTAL	\$ <u>    </u> or		TOTAL	\$ <u>1292.00</u>

(4)   X   The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 09-0447 (AT9-98-038-US2). **A duplicate copy of this Request is enclosed.**

(5)        A check in the amount of \$        is enclosed.

(6)   X   Cancel in this application original claims 7-9, 18, 20-24 and 36-37 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

(7)   X   Amend the specification by inserting before the first line the sentence: This is a   X   continuation,      division of application serial no. 09/156,133 filed September 17, 1998.

(8)        Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May only

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be used if signed by person authorized by § 1.138 and before payment of base issue fee.)

- (9)   X   New   X   formal,    informal drawings are enclosed.
- (10)            Priority of application serial no.            filed on            in            (country), is claimed under 35 U.S.C. 119.
- (11)            The certified copy of the priority application has been filed in prior application serial no.           , filed           .
- (12)   X   With respect to the prior copending U.S. application from which this application claims benefit under 35 U.S.C. § 120 the inventor(s) in this application are:
- a.   X   the same
- b.            less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:
- c.   X   The inventorship for all the claims in the application are:
- X   the same
- not the same, and an explanation, including the ownership of various claims at the time the last claimed invention was made, is submitted.
- (13)            The prior application is assigned of record to



(17)   X  

I hereby verify that the attached papers are a true copy of prior application Serial No. 09/156,133 as originally filed on September 17, 1998, and that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

11 Jul 01

Date

Barry S. Newberger

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Registration No. 41,527

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- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record
- ☐ Filed under § 1.34(a)

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